

ETSI EN 301 489-27 V2.1.1 (2016-12)

Candidate Harmonized European Standard (Telecommunications series)



HARMONISED EUROPEAN STANDARD

**~~Electromagnetic compatibility
and Radio spectrum Matters (ERM);
ElectroMagnetic Compatibility (EMC)~~
standard for radio equipment and services;
Part 27: Specific conditions for Ultra Low Power Active
Medical Implants (ULP-AMI) and
related peripheral devices (ULP-AMI-P);
Harmonised Standard covering the essential requirements
of article 3.1(b) of Directive 2014/53/EU**

Reference

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Foreword

This ~~Candidate Harmonized~~ Harmonised European Standard (~~Telecommunications series~~ EN) has been produced by ETSI Technical Committee Electromagnetic compatibility and Radio spectrum Matters (ERM).

The present document has been ~~produced by ETSI in response to a mandate from the European Commission issued~~ prepared under the ~~Council Directive 98/34/EC~~ Commission's standardisation request C(2015) 5376 final [i.4] (as amended) laying down a procedure for the provision of information in the field of technical standards and regulation.

~~The~~ to provide one voluntary means of conforming to the essential requirements of Directive 2014/53/EU on the harmonisation of the laws of the Member States relating to the making available on the market of radio equipment and repealing Directive 1999/5/EC [i.1].

~~Once the present document, together with EN 301 489-1 [1], is intended to become a Harmonized Standard, the reference of which will be published~~ cited in the Official Journal of the European Communities ~~referencing the Council Directive on the approximation of the laws of the Member States relating to electromagnetic compatibility ("the EMC Union under that Directive") (89/336/EEC [3] as amended), and Directive 1999/5/EC, compliance with the normative clauses of the European Parliament and present document given in table A.1 confers, within the limits of the Council's~~ scope of 9 March 1999 on radio equipment and telecommunications terminal equipment and the mutual recognition ~~present document, a presumption of their conformity ("with the R&TTE Directive" [2]);~~ corresponding essential requirements of that Directive and associated EFTA regulations.

The present document is part 27 of a multi-part deliverable. Full details of the entire series can be found in ~~part~~ ETSI EN 301 489-1 [41].

National transposition dates

Date of adoption of this EN:	12 December 2016
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Date of latest publication of new National Standard or endorsement of this EN (dop/e):	30 September 2017
Date of withdrawal of any conflicting National Standard (dow):	30 September 2018

Modal verbs terminology

In the present document "**shall**", "**shall not**", "**should**", "**should not**", "**may**", "**need not**", "**will**", "**will not**", "**can**" and "**cannot**" are to be interpreted as described in clause 3.2 of the ETSI Drafting Rules (Verbal forms for the expression of provisions).

"**must**" and "**must not**" are NOT allowed in ETSI deliverables except when used in direct citation.

1 Scope

The present document together with ETSI EN 301 489-1 [1], covers the assessment of all radio transceivers associated with Ultra Low Power Active Medical Implants (ULP-AMIs) and associated Peripheral ULP-AMI-Ps) in respect of ElectroMagnetic Compatibility (EMC).

The present document covers the EMC requirements for the radio functions of ULP-AMI and ULP-AMI-P devices.

Technical specifications related to the antenna port and emissions from the enclosure port of the ULP-AMI and ULP-AMI-P devices radio system are not included in the present document. Such technical specifications are found in the relevant product standards for the effective use of the radio spectrum.

The present document specifies the applicable test conditions, performance assessment, and performance criteria for ULP-AMIs and associated Peripheral devices (ULP-AMI-Ps).

Definitions of types of ULP-AMIs and ULP-AMI-Ps covered by present document are given in annex ~~A~~ B.

In case of differences (for instance concerning special conditions, definitions, abbreviations) between the present document and ETSI EN 301 489-1 [1], the provisions of the present document take precedence.

The environmental classification and the emission and immunity requirements used in the present document are as stated in ~~the~~ ETSI EN 301 489-1 [1], except for any special conditions included in the present document.

The present document, together with ETSI EN 301 489-1 [1], contains requirements to demonstrate an adequate level of electromagnetic compatibility as set out in Directive 2014/53/EU [i.1].

2 References

2.1 Normative references

References are either specific (identified by date of publication and/or edition number or version number) or non-specific. For specific references, only the cited version applies. For non-specific references, the latest version of the referenced document (including any amendments) applies.

- ~~For a specific reference, subsequent revisions do not apply.~~
- ~~For a non specific reference, the latest version applies.~~

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The following referenced documents are necessary for the application of the present document.

- [1] ETSI EN 301 489-1 (~~V1.4~~ V2.1): ~~"Electromagnetic compatibility and Radio spectrum Matters (ERM); 1) (11-2016): "ElectroMagnetic Compatibility (EMC) standard for radio equipment and services; Part 1: Common technical requirements; Harmonised Standard covering the essential requirements of article 3.1(b) of Directive 2014/53/EU and the essential requirements of article 6 of Directive 2014/30/EU"~~.

NOTE: Available at http://www.etsi.org/deliver/etsi_en/301400_301499/30148901/02.01.01_30/en_30148901v020101v.pdf.

- [2] ~~Directive 1999/5/EC of the European Parliament and of the Council of 9 March 1999 on radio equipment and telecommunications terminal equipment and the mutual recognition of their conformity (R&TTE Directive).~~ ETSI EN 301 839 (V2.1.1) (04-2016): "Ultra Low Power Active Medical Implants (ULP-AMI) and of the Council of 9 March 1999 on radio equipment and telecommunications terminal equipment and the mutual recognition of their conformity (R&TTE Directive).

- [3] ~~————— Council Directive 89/336/EEC of 3 May 1989 on the approximation of the laws of the Member States relating to electromagnetic compatibility (EMC Directive).~~
- [4] ~~————— Directive 98/34/EC of the European Parliament and of the Council of 22 June 1998 laying down a procedure for the provision of information in the field of technical standards and regulations.~~
- [5] ~~————— ETSI EN 301 839 1 (V1.1.1): "Electromagnetic compatibility and Radio spectrum Matters (ERM); Radio equipment associated Peripherals (ULP-AMI-P) operating in the frequency range 402 MHz to 405 MHz for Ultra Low Power Active Medical Implants and Accessories; Part 1: Technical characteristics, including electromagnetic compatibility requirements, and test methods; Harmonised Standard covering the essential requirements of article 3.2 of the Directive 2014/53/EU".~~
- [3] ~~————— ETSI EN 301 839 2 (V1.1.1): "Electromagnetic compatibility and Radio spectrum Matters (ERM); Radio equipment in the frequency range 402 MHz to 405 MHz for Ultra Low Power Active Medical Implants and Accessories; Part 2: Harmonized EN covering essential requirements of article 3.2 of the R&TTE Directive".~~
- [7] ~~————— EN-1 IEC EN 60601-1-2: (2007): "Medical electrical equipment - Part 1-2: General requirements for safety - Collateral standard: Electromagnetic compatibility - Requirements and tests".~~
- [4] ~~————— CEPT/ERC/REC 70-03: "Relating to the use of Short Range Devices (SRD)".~~
- [9] ~~————— I IEC EN 61000-4-5: (2006): "Electromagnetic compatibility (EMC) - Part 4-5: Testing and measurement techniques - Surge immunity test".~~

2.2 Informative references

References are either specific (identified by date of publication and/or edition number or version number) or non-specific. For specific references, only the cited version applies. For non-specific references, the latest version of the referenced document (including any amendments) applies.

NOTE: While any hyperlinks included in this clause were valid at the time of publication, ETSI cannot guarantee their long term validity.

The following referenced documents are not necessary for the application of the present document but they assist the user with regard to a particular subject area.

- [i.1] ~~————— Directive 2014/53/EU of the European Parliament and of the council of 16 April 2014 on the harmonisation of the laws of the Member States relating to the making available on the market of radio equipment and repealing Directive 1999/5/EC.~~
- [i.2] ~~————— CEPT/ERC/REC 70-03: "Relating to the use of Short Range Devices (SRD)".~~
- [i.3] ~~————— Camelia Gabriel: "Compilation of the dielectric properties of body tissues at RF and Microwave Frequencies", Physics Department, King's College, London WC2R 2LS, UK. February 1996.~~

NOTE: Available at <http://www.dtic.mil/dtic/tr/fulltext/u2/a305826.pdf>.

- [i.4] ~~————— Commission Implementing Decision C(2015) 5376 final of 4.8.2015 on a standardisation request to the European Committee for Electrotechnical Standardisation and to the European Telecommunications Standards Institute as regards radio equipment in support of Directive 2014/53/EU of the European Parliament and of the Council.~~

- [i.5] ~~————— Italian National Research Council, Institute for Applied Physics.~~

NOTE: Available at <http://niremf.ifac.cnr.it/>.

3 Definitions and abbreviations

3.0 Applicability

For the purposes of the present document, definitions and abbreviations have the meanings ascribed herein in clause 3.

Where such meanings are not so ascribed the meanings in ETSI EN 301 489-1 [1], clause 3, apply.

Where such meanings are not so ascribed the meanings in ETSI EN 301 839 [2], clause 3, apply.

Where such meanings are not so ascribed the meanings in the Directive 2014/53/EU [i.1] apply.

3.1 Definitions

For the purposes of the present document, the following terms and definitions ~~given in EN 301 489 1 [1] and the following~~ apply:

Active Medical Implant (AMI): diagnostic or therapeutic device designed to be implanted in a human body containing a power source and a transceiver using the 402 MHz to 405 MHz frequency band for the purpose of providing a two-way digital communications link

environmental profile: range of environmental conditions under which equipment within the scope of the present document is required to comply with the provisions of the present document

life supporting equipment: equipment whose continued normal operation is required in order to sustain life

Medical Implant Communications Link (MICL): collections of transmission that may or may not be continuous, between co-operating medical implant devices and accessories, including programmer/controllers, transferring patient related information in communications service

Medical Implant Communications System (MICS): specific system providing radiocommunications between an ULP-AMI and an associated ULP-AMI-P

Ultra Low Power Active Medical Implant (ULP-AMI): transmitter or receiver or transceiver forming part of an active medical implant, that is used in a medical implant communications system radio link set up by the peripheral device (ULP-AMI-P)

Ultra Low Power Active Medical Implant Peripheral device (ULP-AMI-P): radio part of equipment outside the human body, including body worn devices, used to program and/or control an ULP-AMI by means of a Medical Implant (radio) Communications Link (MICL), such as an external programmer or control transceiver

~~environmental profile: range of environmental conditions under which equipment within the scope of EN 301 489 27 is required to comply with the provisions of EN 301 489 27~~

~~life supporting equipment: equipment whose continued normal operation is required in order to sustain life~~

~~Medical Implant Communications Link (MICL): collections of transmission that may or may not be continuous, between co-operating medical implant devices and accessories, including programmer/controllers, transferring patient related information in communications service~~

~~Medical Implant Communications System (MICS): specific system providing radiocommunications between an ULP-AMI and an associated ULP-AMI-P~~

~~Active Medical Implant (AMI): diagnostic or therapeutic device designed to be implanted in a human body containing a power source and a transceiver using the 402 MHz to 405 MHz frequency band for the purpose of providing a two-way digital communications link~~

3.2 Abbreviations

For the purposes of the present document, the following abbreviations apply:

AC	Alternating Current
AIMD	Active Implantable Medical Device
AMI	Active Medical Implant
DC	Direct Current
EMC	ElectroMagnetic Compatibility
<u>ERP</u>	<u>Effective Radiated Power</u>
EUT	Equipment Under Test
<u>ISM</u>	<u>Industrial, Scientific and Medical</u>
MICL	Medical Implant Communications Link
MICS	Medical Implant Communications System
R&TTE	Radio and Telecommunications Terminal Equipment
RF	Radio Frequency
ULP-AMI	Ultra Low Power Active Medical Implant
ULP-AMI-P	Ultra Low Power Active Medical Implant Peripheral device

4 Test conditions

4.1 General

For the purposes of the present document, the test conditions of the ETSI EN 301 489-1 [1], clause 4, shall apply as appropriate. Further product related test conditions for ULP-AMI and associated ULP-AMI-P are specified in the present document.

~~4.1~~ General

For emission and immunity tests the normal test modulation, test arrangements, etc., as specified in the present document, clauses 4.1 to 4.5 shall apply.

Whenever the Equipment Under Test (EUT) is provided with a detachable antenna, the EUT shall be tested with the antenna fitted in a manner typical of normal intended use, unless otherwise specified. If the EUT can be used with several types of antenna the test shall be repeated for each type of antenna.

ULP-AMI devices (active medical implants) are designed to be implanted within a human body. These radio systems are isolated from disturbances by the surrounding body tissue. In order to adequately assess the EMC characteristics of active medical implants devices, the use of a simulated man is necessary. See annex ~~BC~~ for additional details. The provisions of annex ~~BC~~ are intended to provide an operational environment that simulates, to the extent possible, actual usage conditions for internal implanted devices. It is necessary to use this or another appropriate special fixture when making emission measurements and immunity tests with radiated RF fields.

4.2 Arrangements for test signals

4.2.0 General

The provisions of the ETSI EN 301 489-1 [1], clause 4.2 shall apply.

4.2.1 Arrangements for test signals at the input of the ~~transmitter~~transmitters

The provisions of the ETSI EN 301 489-1 [1], clause 4.2.1 shall apply with the following modifications:

The transmitter shall be modulated with normal test modulation as specified for that type of equipment (~~see clause-4.5~~). Where transmitters do not have a modulation input port, the internal equipment modulation shall be used.

4.2.2 Arrangements for test signals at the RF output of the ~~transmitter~~transmitters

4.2.2.1 General

The provisions of the ETSI EN 301 489-1 [1], clause 4.2.2 shall apply with the following modification:

The manufacturer may provide a suitable companion receiver or another device that can be used to set up a communications link and/or to receive messages.

4.2.2.42 ULP-AMI transmitters

For ULP-AMI transmitters the test fixture described in annex ~~B~~shall C may be used.

The manufacturer shall provide a suitable receiver or alternate technique that can be used to monitor the medical implant communications link.

4.2.2.23 ULP-AMI-P transmitters

The provisions of the ETSI EN 301 489-1 [1], clause 4.2.2 shall apply with the following modifications:

- ULP-AMI-P ~~devices~~Ps are designed to be used ~~externally~~external to a human body;
- the manufacturer shall provide a suitable receiver or alternate technique that can be used to monitor the medical implant communications link.

4.2.3 Arrangements for test signals at the RF input of the ~~receiver~~receivers

The provisions of ETSI EN 301 489-1 [1], clause 4.2.3 shall apply with the following modifications:

- the wanted RF input signal, coupled to the receiver, shall be modulated with normal test modulation as specified for that type of equipment (clause 4.5);
- the level of the wanted RF input signal shall be 20 dB above the threshold sensitivity level of the receiver, but in all cases it shall be below the overload characteristics of the receiver;
- the manufacturer shall provide a suitable transmitter that can be used to set up the medical implant communications link.

4.2.4 Arrangements for test signals at the output of ~~the receiver~~receivers

The provisions of ETSI EN 301 489-1 [1], clause 4.2.4 shall apply with the following modification, if appropriate:

If direct access to the receiver output of the ULP-AMI and associated ULP-AMI-P is not possible, then the manufacturer shall provide the method by which the receiver's functionality can be monitored during the immunity tests.

4.2.5 Arrangements for testing transmitter and receiver together (as a system: ULP-AMI together with an associated ULP-AMI-P)

The provisions of ETSI EN 301 489-1 [1], clause 4.2.5 shall apply with the following modification:

The transmitter of an ULP-AMI and the receiver of an associated ULP-AMI-P or the receiver of an ULP-AMI and the transmitter of an associated ULP-AMI-P may be tested together, if appropriate and agreed by the manufacturer and the test laboratory (size of devices, etc.).

In this case both EUTs shall be located in their respective test environment and exposed simultaneously to the EMC phenomena.

4.3 Exclusion bands

4.3 RF exclusion band of radio equipment

4.3.0 General

The emission measurement and immunity test exclusions are referred to as "exclusion bands" and are defined in the clauses 4.3.1 and 4.3.2 of the present document.

The frequencies on which the EUT is intended to operate, shall be excluded from conducted and radiated RF immunity tests.

The frequencies on which the transmitter part of the EUT is intended to operate shall be excluded from emission measurements when performed in transmit mode of operation.

During emission measurements, a frequency exclusion band does not apply for the receiver part of ULP-AMIs and/or associated ULP-AMI-Ps.

4.3.1 Exclusion bands for receivers

The exclusion band for receivers (including receivers that are part of transceivers) is determined as follows:

- for receivers capable of operating on 9 or more channels within the frequency band specified in table 1 and not having an alignment range, the lower frequency of the exclusion band is the lower frequency of the used frequency channel minus the extension value given in table 1, and the upper frequency of the exclusion band is the upper frequency of the used frequency channel plus the extension value given in table 1. The calculated extension value shall be based on the operating frequency;
- for receivers capable of operating on more than one frequency and having an alignment range, the lower frequency of the exclusion band is the lower frequency of the alignment range minus the extension value given in table 1, and the upper frequency of the exclusion band is the upper frequency of the alignment range plus the extension value given in table 1. The calculated extension values shall be based on the centre frequency of the alignment range;
- for wide band receivers, i.e. receivers operating in a non-channelized arrangement, the lower frequency of the exclusion band is the lower frequency of the intended operating band minus the extension value given in table 1 and the upper frequency of the exclusion band is the upper frequency of the intended operating band plus the extension value given in table 1, or the total exclusion band is twice the intended operating frequency band of the receiver centred around the centre frequency of the intended operating band, whichever is the greater.

Table 1: Exclusion bands for the receiver part of ULP-AMI or ULP-AMI-Ps

Receiver operating frequency f_o	Receiver exclusion bands			
	Receiver class 1	Receiver class 2		Receiver class 3
402 MHz to 405 MHz	$f_o \pm 10$ MHz	$f_o \pm (5\% \times f_o)$	$f_o \pm (5\% \times f_o)$	

4.3.2 Exclusion band for transmitters

For transmitters operating, or intended to operate, in a channelized arrangement in the 402 MHz to 405-MHz frequency band, the exclusion band is nine times the maximum occupied bandwidth allowed for that service, centred around the operating frequency. For the 402 MHz to 405 MHz band, the maximum occupied bandwidth is 300 kHz. The actual occupied bandwidth is determined using the procedures in ETSI EN 301 839-4 [2] for measuring emission bandwidth.

For wide band transmitters, i.e. transmitters in a non-channelized frequency band, the exclusion band is twice the intended operating frequency band (i.e. 3 MHz or less) centred around the centre frequency of the intended operating frequency band.

In case the receiver and transmitter are tested together as a system (see clause 4.2.5) the exclusion band defined for receivers or the exclusion band defined for transmitters shall be used, whichever is greater.

4.4 Narrow band responses of receivers or receivers which are part of transceivers

The provision of ETSI EN 301 489-1 [1], clause 4.4 shall apply.

4.5 Normal test modulation

The RF carrier shall be modulated with a test signal ~~which~~ that produces specific selective messages or commands as stated by the manufacturer, representing a practical selection of usable selective messages/commands. The manufacturer shall declare the format of the modulation signal and any error detection and correction involved. Where transmitters do not have a modulation input port, the internal equipment modulation is used.

5 Performance assessment

5.1 General

The provision of ETSI EN 301 489-1 [1], clause 5.1 shall apply.

The manufacturer shall at the time of submission of the device(s) for test, supply the necessary general information as requested in ETSI EN 301 489-1 [1], clause 5.1. Additionally he shall supply the following product-related information:

- –the class of the device selected by the manufacturer according to table 2 (see clause 6.1).

The performance assessment is dependent on whether the type of device is an ULP-AMI or an ULP-AMI-P.

For both types of devices the performance assessment is based on:

- the maintenance of function(s);
- the way an eventual loss of function(s) can be recovered;
- the unintentional behaviour of the EUT.

For both types of devices it shall be possible to assess the performance by monitoring the intended functions before, during and after the tests.

5.2 ~~Equipment which can provide a continuous communications~~ communication link

The provisions of ETSI EN 301 489-1 [1], clause 5.2 shall apply.

5.3 ~~Equipment which does not provide a continuous communications~~ communication link

The provisions of ETSI EN 301 489-1 [1], clause 5.3 shall apply.

5.4 Ancillary equipment

The provisions of ETSI EN 301 489-1 [1], clause 5.4 are not applicable.

5.5 Equipment classification

The provisions of ETSI EN 301 489-1 [1], clause 5.5 shall apply with the following modifications.

For the purpose of EMC performance assessment in the present document, the radio devices/equipment and/or associated ancillary devices/equipment shall be classified into one of the following types:

- for the purpose of EMC performance assessment in the present document, the radio devices/equipment and/or associated ancillary devices/equipment shall be classified into one of the following types:
 - ULP-AMI (implantable devices); or
 - ULP-AMI-P (external peripheral devices);
- life supporting devices shall meet the criteria specified for this type of device;
- radio equipment declared as capable of being powered for intended use by the main battery of a vehicle shall additionally be considered as mobile equipment.

6 Performance criteria

6.1 ~~Sub~~-classification of ULP-AMI and ULP-AMI-P devices

The product family of Active Implantable Medical Devices (AIMD) is divided into three ~~sub~~-classes of devices as set out in IEC EN 60601-1-2 [3], each having its own set of minimum performance criteria. This classification is based upon the impact on persons and/or goods in case the equipment does not operate above the specified minimum performance level under EMC stress. In lieu of using these classification guidelines, the manufacturer of ULP-AMI/ULP-AMI-P devices may declare the classification of his devices. The test report shall note the classification of the device and whether it is based on the manufacturers' declaration or on table 2.

Table 2

Sub-class of ULP-AMI or ULP-AMI-P devices	Risk assessment of receiver performance
1	Highly reliable communication media; e.g. serving human life inherent systems (may result in a physical risk to a person).
2	Medium reliable communication media; e.g. causing inconvenience to persons, which cannot simply be overcome by other means.
3	Standard reliable communication media; e.g. inconvenience to persons, which can simply be overcome by other means (e.g. manual).
NOTE: Receiver classes defined in this table are identical to those defined in table 1.	

6.2 General performance criteria

The performance criteria for the different ~~sub~~-classes of ULP-AMI/ULP-AMI-P devices (see table 2) in combination with the different equipment types (see clause 5.5) during and after immunity test are specified in this clause:

- performance criteria A for immunity tests with phenomena of a continuous nature;
- performance criteria B for immunity tests with phenomena of a transient nature;
- performance criteria for immunity tests with power interruptions exceeding a certain time are specified in clause 7.2.2, table 4.

The device shall meet the performance criteria as specified in the following clauses, for the appropriate ~~sub~~-class of ULP-AMI/ULP-AMI-P devices.

6.3 Performance criteria and table

For both types of devices it shall be possible to assess the performance by monitoring the intended functions before, during and after the tests:

- the device under test can be assessed for performance by comparing, on an equal to or less than basis, the measured bit error rate with the bit error rate performance as specified by the manufacturer; or
- for devices under test that cannot be assessed using the above methods for assessment, the manufacturer shall specify the assessment method to be used.

Under the test conditions specified in the present document the device and/or system under test shall be able to provide the intended clinical benefit as specified by the manufacturer and remain safe for the user. The tested device may exhibit a degradation of performance (deviation from manufacturer's specifications) as detailed in table 3.

Table 3

Class 1 ULP-AMI/ULP-AMI-P devices		
Criteria	During test	After test
A	Operate as intended No loss of function No unintentional responses	Operate as intended The communication link shall be maintained No loss of function No degradation of performance No loss of stored data or user programmable functions
B	May be loss of function (one or more) No unintentional responses	Operate as intended The communication link shall have been maintained No loss of function(s) No degradation of performance No loss of stored data or user programmable functions
Class 2 ULP-AMI/ULP-AMI-P devices		
Criteria	During test	After test
A	Operate as intended No loss of function below manufacturers specification No unintentional responses	Operate as intended The communication link shall be maintained or recover No loss of function below manufacturers specifications No degradation of performance No loss of stored data or user programmable functions
B	May be loss of function (one or more) No unintentional responses	Operate as intended The communication link shall be maintained or recover No loss of function below manufacturers specifications No degradation of performance No loss of stored data or user programmable functions
Class 3 ULP-AMI/ULP-AMI-P devices		
Criteria	During test	After test
A and B	May be loss of function (one or more) No unintentional responses	Operate as specified by the manufacturer, the communication link may be lost, but shall be recoverable by user No degradation of performance Lost functions shall be self-recoverable or recoverable as specified by the manufacturer

The following degradations or failures are not allowed during any phase of testing of ULP-AMI devices:

- component failures;
- changes in programmable parameters;
- reset to factory defaults (manufacturer's presets);
- change of intended operating mode;
- false alarms;
- cessation of any intended operation, even if accompanied by an alarm;
- initiation of any unintended operation, including unintended or uncontrolled motion, even if accompanied by an alarm;

- error of a displayed numerical value sufficiently large to affect diagnosis or treatment;
- failure of automatic diagnosis or treatment devices and/or systems to diagnose or treat, even if accompanied by an alarm.

In addition for ULP-AMI-P devices the following degradations are not allowed:

- artefact or distortion in an image in which the artefact is indistinguishable from physiologically-produced signals or the distortion interferes with interpretation of physiologically-produced signals;
- noise on a waveform in which the noise is indistinguishable from physiologically-produced signals or the noise interferes with interpretation of physiologically-produced signals.

6.4 Performance criteria for continuous phenomena applied to transmitters

For both, the transmitter part of ULP-AMI and ULP-AMI-P devices the performance criteria A of the applicable class as given in clause 6.3 shall apply.

For both, the transmitter part of ULP-AMI and ULP-AMI-P devices which require a communication link to be maintained during the test, it shall be verified that the link is maintained during each individual exposure in the test sequence, by appropriate means supplied by the manufacturer.

The test shall be repeated with the EUT in standby mode to ensure that no unintended transmission occurs as a result of transmitter operation.

6.5 Performance criteria for transient phenomena applied to transmitters

For both, the transmitter part of ULP-AMI and ULP-AMI-P devices the performance criteria B of the applicable class as given in clause 6.3 shall apply, except for power interruptions exceeding a certain time the performance criteria deviations are specified in clause 7.2.2.

For both, the transmitter part of ULP-AMI and ULP-AMI-P devices which require a communication link to be maintained during the test, it shall be verified that the link is maintained during each individual exposure in the test sequence, by appropriate means supplied by the manufacturer.

The test shall be repeated with the EUT in standby mode to ensure that no unintended transmission occurs as a result of transmitter operation.

6.6 Performance criteria for continuous phenomena applied to receivers

For both, the receiver part of ULP-AMI and ULP-AMI-P devices, the performance criteria A of the applicable class as given in clause 6.3 shall apply.

For both, the receiver part of ULP-AMI and ULP-AMI-P devices which require a communication link to be maintained during the test, it shall be verified that the communication link is maintained during each individual exposure in the test sequence, by appropriate means supplied by the manufacturer.

Where the EUT is a transceiver, under no circumstances shall the transmitter operate unintentionally during the test.

6.7 Performance criteria for transient phenomena applied to receivers

For both, the receiver part of ULP-AMI and ULP-AMI-P devices, the performance criteria B of the applicable class as given in clause 6.3 shall apply, except for power interruptions exceeding a certain time where the performance criteria are as specified in clause 7.2.2.

For both, the receiver part of ULP-AMI and ULP-AMI-P devices which require a communication link to be maintained during the test, it shall be verified that the communication link is maintained during each individual exposure in the test sequence, by appropriate means supplied by the manufacturer.

Where the EUT is a transceiver, under no circumstances shall the transmitter operate unintentionally during the test.

7 Applicability overview

7.1 Emission

~~Equipment covered by the present document is intended to be used to provide diagnostic information to medical professionals and/or deliver therapeutic benefits to patients in a medical/hospital environment. This equipment typically utilizes a wireless communication link for the purpose of programming (telecommand) and retrieving data (telemetry) from various implanted devices such as pacemakers, defibrillators, nerve stimulators, drug pumps, and others. For devices of the type covered by the present document, it is reasonable that the EMC performance levels of each section (medical and communications) should correspond to the same EMC values. Studies have shown that medical environments have higher levels of disturbances that impact on the performance of this equipment than are normally associated with a non-medical environment. Accordingly, the performance levels specified for equipment covered by the present document reflect the expected environmental disturbances associated with medical facilities.~~ emission

7.1.1 General

~~ETSI EN 301 489-1 [1], table 21~~ contains the applicability of EMC emission measurements to the relevant ports of radio and/or associated ancillary equipment.

7.1.2 Special conditions

The following special conditions relate to the emission test methods used in the ETSI EN 301 489-1 [1], clause 8.

The emission measurements applicable to the antenna or enclosure port of ULP-AMI or ULP-AMI-P devices are specified in the ~~harmonized product~~ harmonised standard ETSI EN 301 839-2 [62].

7.2 Immunity

7.2.1 General

Table 2 of ETSI EN 301 489-1 [1] contains the applicability of EMC immunity tests to the relevant ports of radio and/or associated ancillary equipment.

Equipment covered by the present document is intended to be used in both, medical and residential areas and will have both life supporting and non-life supporting applications. Accordingly, the immunity test levels and conditions specified in the present document are based on the levels associated with the above applications.

Further, the immunity of these systems to radiated ambient fields is subject to their usage condition and, for example, implanted equipment should be tested using an appropriate test fixture as described in annex ~~B~~C.

For some applications, it may be appropriate to devise other types of specialized test fixtures. Where such a specialized test fixture is used, details of the fixture shall be provided by the manufacturer and recorded in the subsequent test documentation.

It is intended that the performance criteria and immunity requirements in the present document and in IEC EN 60601-1-2 [3] be essentially equivalent. For ULP-AMI ~~devices~~ and ULP-AMI-P, guidance is given as to applicability of the test in table 4.

~~7.2.1~~ General

~~EN 301 489-1 [1], table 3 contains the applicability of EMC immunity tests to the relevant ports of radio and/or associated ancillary equipment.~~

7.2.2 Special conditions

The following special conditions set out in table 4 relate to the immunity test methods and performance criteria used in ETSI EN 301 489-1 [1], clause 9.

Table 4: Special conditions for EMC immunity tests

Reference to clauses in ETSI EN 301 489-1 [1]	Special product-related conditions, additional to or modifying the test configuration in ETSI EN 301 489-1 [1], clause 9									
9.2.2 Test method; Radio frequency electromagnetic field	<p>The following conditions apply:</p> <ul style="list-style-type: none"> for non-life supporting equipment, the test level shall be 3 V/m (measured unmodulated); for life supporting equipment, the test level shall be 10 V/m (measured unmodulated); for equipment and/or systems intended to monitor or measure a physiological parameter, the physiological simulation frequency restrictions specified below shall apply. When the modulation frequency of 2 Hz is used, then it is not necessary to additionally test with a modulation frequency of 1 kHz; for equipment and/or system intended to control a physiological parameter, the operating frequency restrictions specified below shall apply. <table border="1" data-bbox="644 696 1437 1003"> <thead> <tr> <th data-bbox="644 696 903 860">Intended use</th> <th data-bbox="903 696 1166 860">Modulation frequency</th> <th data-bbox="1166 696 1437 860">Physiological simulation frequency and Operating frequency of the simulation circuit</th> </tr> </thead> <tbody> <tr> <td data-bbox="644 860 903 972">To control, monitor or measure a physiological parameter</td> <td data-bbox="903 860 1166 972">2 Hz</td> <td data-bbox="1166 860 1437 972">Less than 1 Hz or greater than 3 Hz</td> </tr> <tr> <td data-bbox="644 972 903 1003">All other</td> <td data-bbox="903 972 1166 1003">1 kHz</td> <td data-bbox="1166 972 1437 1003">Not applicable</td> </tr> </tbody> </table> <p>The test shall be performed over the frequency range 80 MHz to 2 500 6 000 MHz. The appropriate exclusion band as defined in clause 4.3 and sequence may be excluded from this requirement.</p>	Intended use	Modulation frequency	Physiological simulation frequency and Operating frequency of the simulation circuit	To control, monitor or measure a physiological parameter	2 Hz	Less than 1 Hz or greater than 3 Hz	All other	1 kHz	Not applicable
Intended use	Modulation frequency	Physiological simulation frequency and Operating frequency of the simulation circuit								
To control, monitor or measure a physiological parameter	2 Hz	Less than 1 Hz or greater than 3 Hz								
All other	1 kHz	Not applicable								
9.3.2 Test method; Electrostatic discharge	<p>The test severity level for contact discharge shall be ± 6 kV and for air discharge ± 8 kV. This test is only applicable to ULP-AMI-P devices.</p>									
9.4.2 Test method; Fast transient, Common mode	<p>The following conditions apply:</p> <ul style="list-style-type: none"> the test level for signal ports, telecommunication ports, and control ports shall be ± 1 kV open circuit voltage; signal and interconnecting cables of less than 3 m in length and patient-coupled cables are not tested; the test level for AC mains and DC power input ports shall be ± 2 kV open circuit voltage. 									
9.5 Radio frequency, Common mode	<p>This test is applicable to devices having a combined tip-to-tip lead length or dimension of 1 m or greater. In general, most implanted devices are exempt from testing according to the requirements of this clause due to their overall length falling under 1 m. In the case of implanted equipment which must shall be tested, it is appropriate to reduce the immunity test levels specified in this clause by a factor, in dB, equivalent to the attenuation (in dB) of external signals due to tissue absorption for the frequency of interest under the conditions the implant is intended to be used. For these situations, the test report shall state the reduction in dB and the justification for the reduction at the measurement frequency. In the event an implanted device must shall be tested for radio frequency common mode ambient levels, the manufacturer may specify an alternate technique for determining compliance. The alternate technique must shall be agreed to by the test laboratory such as direct coupling of a signal adjusted in level to account for tissue attenuation that would occur under normal operating conditions.</p>									

Reference to clauses in ETSI EN 301 489-1 [1]	Special product-related conditions, additional to or modifying the test configuration in ETSI EN 301 489-1 [1], clause 9																		
9.5.2 Test Method; Radio frequency, Common mode	<p>The following conditions apply:</p> <ul style="list-style-type: none"> for non-life supporting equipment, the test level shall be 3 V rms (measured unmodulated); for life supporting equipment, the test level shall be 10 V rms (measured unmodulated) for all frequencies in the ISM bands, and 3 V rms in non-ISM bands. ISM bands between 150 kHz and 80 MHz are 6,765 MHz to 6,795 MHz; 13,553 MHz to 13,567 MHz; 26,957 MHz to 27,283 MHz; and 40,66 MHz to 40,70 MHz; for equipment and/or systems intended to monitor or measure a physiological parameter, the physiological simulation frequency restrictions specified below shall apply. When the modulation frequency of 2 Hz is used, then it is not necessary to additionally test with a modulation frequency of 1 kHz; for equipment and/or system intended to control a physiological parameter, the operating frequency restrictions specified below shall apply. <table border="1" data-bbox="643 748 1441 1055"> <thead> <tr> <th>Intended use</th> <th>Modulation frequency</th> <th>Physiological simulation frequency and Operating frequency of the simulation circuit</th> </tr> </thead> <tbody> <tr> <td>To control, monitor or measure a physiological parameter</td> <td>2 Hz</td> <td>Less than 1 Hz or greater than 3 Hz</td> </tr> <tr> <td>All other</td> <td>1 kHz</td> <td>Not applicable</td> </tr> </tbody> </table> <p>The test shall be performed over the frequency range 150 kHz to 80 MHz. The appropriate exclusion band as defined in clause 4.3 and sequence may be excluded from this requirement.</p>	Intended use	Modulation frequency	Physiological simulation frequency and Operating frequency of the simulation circuit	To control, monitor or measure a physiological parameter	2 Hz	Less than 1 Hz or greater than 3 Hz	All other	1 kHz	Not applicable									
Intended use	Modulation frequency	Physiological simulation frequency and Operating frequency of the simulation circuit																	
To control, monitor or measure a physiological parameter	2 Hz	Less than 1 Hz or greater than 3 Hz																	
All other	1 kHz	Not applicable																	
9.7 Voltage dips and interruptions	This test only applies to ULP-AMI-P devices.																		
9.7.2 Test method; Voltage dips and interruptions	<p>The tests levels shall be as indicated in the following tables.</p> <p style="text-align: center;">Immunity test level for voltage dips</p> <table border="1" data-bbox="643 1361 1441 1503"> <thead> <tr> <th>Voltage test level (%Ut)</th> <th>Voltage dip (%Ut)</th> <th>Duration (Periods)</th> </tr> </thead> <tbody> <tr> <td>< 5</td> <td>> 95</td> <td>0,5</td> </tr> <tr> <td>40</td> <td>60</td> <td>5</td> </tr> <tr> <td>70</td> <td>30</td> <td>25</td> </tr> </tbody> </table> <p>NOTE: Ut is the AC mains voltage prior to application of the test signal.</p> <p style="text-align: center;">Immunity test level for voltage interruptions</p> <table border="1" data-bbox="643 1653 1441 1736"> <thead> <tr> <th>Voltage test level (%Ut)</th> <th>Voltage dip (%Ut)</th> <th>Duration (Seconds)</th> </tr> </thead> <tbody> <tr> <td>< 5</td> <td>> 95</td> <td>5</td> </tr> </tbody> </table> <p>NOTE: Ut is the AC mains voltage prior to application of the test signal.</p>	Voltage test level (%Ut)	Voltage dip (%Ut)	Duration (Periods)	< 5	> 95	0,5	40	60	5	70	30	25	Voltage test level (%Ut)	Voltage dip (%Ut)	Duration (Seconds)	< 5	> 95	5
Voltage test level (%Ut)	Voltage dip (%Ut)	Duration (Periods)																	
< 5	> 95	0,5																	
40	60	5																	
70	30	25																	
Voltage test level (%Ut)	Voltage dip (%Ut)	Duration (Seconds)																	
< 5	> 95	5																	

Reference to clauses in ETSI EN 301 489-1 [1]	Special product-related conditions, additional to or modifying the test configuration in ETSI EN 301 489-1 [1], clause 9
9.7.3 Performance criteria	<p>For a voltage dip corresponding to a reduction of the supply voltage of 30 % for 25 periods the following performance criteria apply:</p> <ul style="list-style-type: none"> • for transmitters the performance criteria for transient phenomena for transmitter shall apply (see clause 6); • for receivers the performance criteria for transient phenomena for receiver shall apply (see clause 6); • for ancillary equipment the pass/failure criteria supplied by the manufacturer (see clause 6.4) shall apply, unless the ancillary equipment is tested in connection with a receiver or transmitter in which case the corresponding performance criteria for transmitters/receivers above shall apply. For a voltage dip corresponding to a reduction of the supply voltage of 60 % for 5 periods, or a 95 % reduction for a 0,5 period and/or a voltage interruption corresponding to a reduction of the supply voltage of greater than 95 % for 5 000 ms the following performance criteria apply: • in the case where the equipment is fitted with or connected to a battery back-up, the performance criteria for transient phenomena for transmitters or for receivers shall apply (see clause 6); • in the case where the equipment is powered solely from the AC mains supply (without the use of a parallel battery back-up) volatile user data may have been lost and if applicable the communication link need not to be maintained and lost functions should be recoverable by user or operator; • no unintentional responses shall occur at the end of the test; • the equipment must<u>shall</u> be safe in all cases for its intended application and use; • in the event of loss of function(s) or in the event of loss of user stored data, this fact shall be recorded in the test report; • for ancillary equipment the pass/failure criteria supplied by the manufacturer (see clause 6.4) shall apply, unless the ancillary equipment is tested in connection with a receiver or transmitter in which case the corresponding performance criteria above shall apply.
9.8 Surges	<p>These tests only apply to ULP-AMI-P devices.</p>
9.8.2 Test Methods; Surges	<p>The test level for AC mains power input ports shall be 2 kV line to ground and 1 kV line to line, with the output impedance of the surge generator as given in IEC EN 61000-4-5 [94]. The test generator shall provide the 1,2/50 µs pulse as defined in IEC EN 61000-4-5 [94]. Five surges at each voltage level and polarity shall be applied to each power line at each of the following AC voltage waveform angles: 0° and/or 180°, 90° and 270°. Equipment and/or systems without any grounded interconnections are exempted from line(s) to ground testing. For equipment and/or systems that have, for power input, multiple voltage settings or auto-ranging voltage capability, the test shall be performed at the minimum and maximum rated input voltages.</p>

Annex A

Annex A (normative): Relationship between the present document and the essential requirements of Directive 2014/53/EU

The present document has been prepared under the Commission's standardisation request C(2015) 5376 final [i.4] to provide one voluntary means of conforming to the essential requirements of Directive 2014/53/EU on the harmonisation of the laws of the Member States relating to the making available on the market of radio equipment and repealing Directive 1999/5/EC [i.1].

Once the present document is cited in the Official Journal of the European Union under that Directive, compliance with the normative clauses of the present document given in table A.1 confers, within the limits of the scope of the present document, a presumption of conformity with the corresponding essential requirements of that Directive and associated EFTA regulations.

Table A.1: Relationship between the present document and the essential requirements of Directive 2014/53/EU

Harmonised Standard ETSI EN 301 489-27				
<u>The following requirements are relevant to the presumption of conformity under the article 3.1(b) of Directive 2014/53/EU [i.1]</u>				
Requirement			Requirement Conditionality	
No	Description	Reference: Clause No	U/C	Condition
1	Enclosure of ancillary equipment measured on a stand alone basis	8.2 of ETSI EN 301 489-1 [1]	U	
2	DC power input/output ports	8.3 of ETSI EN 301 489-1 [1]	C	Only where equipment has DC power input and/or output ports with a cable length greater than 3m or from a vehicle power supply
3	AC mains power input/output ports	8.4 of ETSI EN 301 489-1 [1]	C	Only where equipment has AC mains power input and/or output ports
4	Harmonic current emission (AC mains input port)	8.5 of ETSI EN 301 489-1 [1]	C	Only where equipment has AC mains power input ports
5	Voltage fluctuations and flicker (AC mains input ports)	8.6 of ETSI EN 301 489-1 [1]	C	Only where equipment has AC mains power input ports
6	Wired network ports	8.7 of ETSI EN 301 489-1 [1]	C	Only where equipment has wired network ports
7	Radio frequency electromagnetic field (80 MHz to 6 000 MHz)	7.2.2	U	
8	Electrostatic discharge	7.2.2	C	Only ULP-AMI-P equipment
9	Fast transients common mode	7.2.2	U	
10	Radio frequency common mode	7.2.2	C	Only devices having a combined tip-to-tip lead length or dimension of 1 m or greater
11	Transients and surges in the vehicular environment	9.6 of ETSI EN 301 489-1 [1]	C	Only where equipment is connected to vehicle power supply
12	Voltage dips and interruptions	7.2.2	C	Only ULP-AMI-P equipment
13	Surges, line to line and line to ground	7.2.2	C	Only ULP-AMI-P equipment

Key to columns:

Requirement:

No A unique identifier for one row of the table which may be used to identify a requirement.

Description A textual reference to the requirement.

Clause Number Identification of clause(s) defining the requirement in the present document unless another document is referenced explicitly.

Requirement Conditionality:

U/C Indicates whether the requirement shall be unconditionally applicable (U) or is conditional upon the manufacturer's claimed functionality of the equipment (C).

Condition Explains the conditions when the requirement shall or shall not be applicable for a requirement which is classified "conditional".

Presumption of conformity stays valid only as long as a reference to the present document is maintained in the list published in the Official Journal of the European Union. Users of the present document should consult frequently the latest list published in the Official Journal of the European Union.

Other Union legislation may be applicable to the product(s) falling within the scope of the present document.

Annex B (normative):

Definitions of types of ULP-AMI and ULP-AMI-P devices in the scope of the present document

AB.1 ULP-AMI and ULP-AMI-P devices intended for operation in the frequency range 402 MHz to 405 MHz

The present document applies to ULP-AMI and ULP-AMI-P devices with RF power levels ranging up to 25 μ W ERP and intended for operation in the frequency range 402 MHz to 405 MHz in accordance with the provisions of annex 12, band (a), to CEPT/ERC/REC 70-03 [i.2]. Definitions of such ULP-AMI and ULP-AMI-P radio equipment are found in the following functional radio standards:

- ~~EN 301 839-1 (V1.1.1): "Electromagnetic compatibility and Radio spectrum Matters (ERM); Radio equipment in the frequency range 402 MHz to 405 MHz for Ultra Low Power Active Medical Implants and Accessories; Part 1: Technical characteristics, including electromagnetic compatibility requirements, and test methods" [ETSI EN 301 839 (V2.1.1) [2].~~
- ~~EN 301 839-2 (V1.1.1): "Electromagnetic compatibility and Radio spectrum Matters (ERM); Radio equipment in the frequency range 402 MHz to 405 MHz for Ultra Low Power Active Medical Implants and Accessories; Part 2: Harmonized EN covering essential requirements of article 3.2 of the R&TTE Directive" [6].~~

Annex BC (normative): Test fixture for ULP-AMI devices (Simulated man)

Equipment intended to be implanted in a human body shall for purposes of the present document be tested in a simulated man constructed as follows in order to simulate operation of the implant under actual operation operating conditions as shown in figure BC.1.

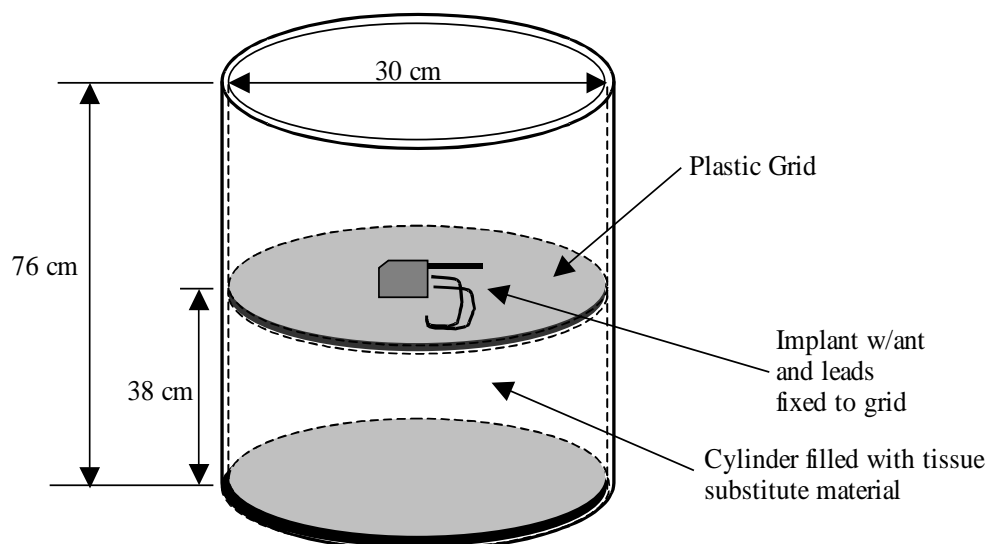


Figure BC.1: Test fixture

An appropriate simulator for testing medical implant transmitters consists of a cylindrical Plexiglas container with a size of $30\text{ cm} \pm 0,5\text{ cm}$ by $76\text{ cm} \pm 0,5\text{ cm}$ with a sidewall thickness of $0,635\text{ cm} \pm 0,05\text{ cm}$. It shall be completely filled with a material that is sufficiently fluid that it will flow around the implant without any voids. The dielectric and conductivity properties of this material shall match the dielectric and conductivity properties of human muscle tissue at the centre frequency of operation or, if desired, at the measurement frequency.

NOTE 1: Saline solutions do not meet the dielectric and conductivity requirements for use as a substitute for human tissue.

All emissions measurements will be made using the above specification with the tissue substitute material at a nominal temperature between $22\text{ }^{\circ}\text{C}$ and $38\text{ }^{\circ}\text{C}$.

NOTE 2: This temperature will facilitate testing because it is typical of ambient conditions at many test sites.

A mounting grid for the implant inside the container shall be provided that permits the radiating element or elements of the implant to be positioned vertically and horizontally. The grid should also support any additional implant leads associated with the therapeutic function of the implant in a fixed repeatable manner such that they do not influence the measurement.

The implant antenna shall be mounted no further than $6\text{ cm} \pm 0,5\text{ cm}$ from the sidewall and centred vertically within the container. When switching from vertical to horizontal positioning, it may be necessary to reposition the implant antenna to maintain a separation of $6\text{ cm} \pm 0,5\text{ cm}$ from the sidewall of the test fixture along its length. Implant leads shall be coiled and placed away from the implant antenna while maintaining a nominal 6 cm from the sidewall.

The above fixture shall be placed on a turntable such that the implant transmitter will be located at a nominal 1,5 m height above ground and at a 3 m distance from the measurement antenna. Radiated emissions measurements shall then be performed to insure compliance with the applicable technical specifications.

Tissue parameters for various frequencies maybe obtained from the following website: ~~<http://niremf.ifac.cnr.it/>~~, maintained by the Italian National Research Council, Institute for Applied Physics- [i.5]. Other sources can be used provided they are based on the 4-Cole-Cole equations developed by Gabriel (see bibliography)-[i.3]. In most instances it may be advisable to make preliminary measurements to identify potential problem frequencies and use tissue material corresponding to human tissue characteristics at that frequency. In severe cases, tissue substitute material may be used that has conductivity and dielectric parameters that correspond to the human tissue at a problem frequency.

~~Annex C (informative): Bibliography~~

- ~~• Camelia Gabriel: "Compilation of the dielectric properties of body tissues at RF and Microwave Frequencies", appendix B1 and B2 (Physics Department, Kings College, London WC2R 2LS, UK).~~

Annex D (informative): The EN title in the official languages

Language	EN title
Czech	
Danish	
Dutch	
English	Electromagnetic compatibility and Radio spectrum Matters (ERM); ElectroMagnetic Compatibility (EMC) standard for radio equipment and services; Part 27: Specific conditions for Ultra Low PowerActive Medical Implants (ULP-AMI) and related peripheral devices (ULP-AMI-P)
Estonian	
Finnish	
French	
German	
Greek	
Hungarian	
Icelandic	
Italian	
Latvian	
Lithuanian	
Maltese	
Polish	
Portuguese	
Slovak	
Slovenian	
Spanish	
Swedish	

History

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V1.1.1	July 2003	Public Enquiry PE 20031121: 2003-07-23 to 2003-11-21
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<u>V2.1.1</u>	<u>December 2016</u>	<u>Publication</u>